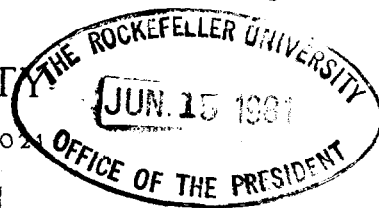




# THE ROCKEFELLER UNIVERSITY

1230 YORK AVENUE · NEW YORK, NEW YORK 10021



June 12, 1981

SUBJECT: DISCUSSION PAPER: Edwin C. Whitehead ✓

Background: Mr. Whitehead, the founder and chairman of Technicon Corp., has had a relationship with the University since 1972 when early conversations began with FS about establishment of a Whitehead Institute. He joined the Board of Trustees for only about two years, transferred to the RUC in 1975, left the RUC in 1978 and two years later accepted RUC reappointment. In an April 15, 1981 article in the NYT, Mr. Whitehead is reported to have stock holdings which total \$250 million. His gifts to RU have been: \$40,000 in 1973 (single payment; unrestricted) and a 1975 pledge of \$25,000 which was paid in full by February of last year.

Since Mr. Whitehead's decision to found the Whitehead Institute at M.I.T. rather than RU, we have been considering an effective approach to him for a major grant. His long and serious consideration of RU as a home for the Institute should suggest his receptivity to consideration of a significant grant, although he may feel a complete philanthropic commitment to the M.I.T./Whitehead cooperative.

Some items for consideration and discussion are:

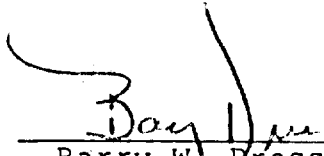
1. Mr. Rockefeller has determined that he is not well-positioned to be of direct assistance in an approach. He believes that JL's relationship is the most operative, but he is willing to be supportive if needed.
2. Consider an invitation from JL to a private "quiet dinner" (at President's House??) to make a direct proposal. An ancillary agenda might be to ask his counsel on our early plans for "corporate associate" relationships and research funding, patents, etc.
3. Consider proposition for endowment of a Whitehead Professorship at \$1.25 million and an additional sum (\$1 million?) to either underwrite construction and equipment for a Whitehead Laboratory or as an endowment fund for special purchases of scientific equipment (campus-wide). Perhaps other purposes surfaced in the most recent conversations here about the Whitehead Institute.

Mr. Whitehead likely appreciates all the time

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given by JL and others to the Institute question, and his "previous donor" status suggests that he can be encouraged to renew his support. The great unknown is his willingness to consider a seven-figure grant to us at this time.

Reactions and further suggestions are welcome to help formulate an action plan.

  
Barry W. Dress

cc: Dr. Joshua Lederberg ✓  
Mr. Rodney W. Nichols  
Mr. Frederick G. Lehmann  
DO Route:RLV, PJG, JDD, JAL, JCP  
Whitehead File